



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/964,586 | 09/28/2001 | Kristopher Frutschy | 219.40442X00 |

CONFIRMATION NO. 2404

020457
ANTONELLI TERRY STOUT AND KRAUS
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON, VA 22209

FORMALITIES LETTER



OC000000006957889

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

A. J.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/19/2001 AWONDAF1 00000025 09964586

01 FC:105

130.00 OP



219.40442X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kristopher FRUTSCHY et al.

Serial No.: 09/964,586

Filed: September 28, 2001

For: ARRANGEMENTS TO SUPPLY POWER TO SEMICONDUCTOR
PACKAGE

Group: Not Yet Assigned

Examiner: Not Yet Assigned

November 16, 2001

Attention: Application Processing Division
Special Processing and Correspondence Branch

SUBMISSION OF DECLARATION AND ASSIGNMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted mailed October 23, 2001, in connection with the above-identified application is acknowledged. A copy of the Notice is attached hereto.

Attached hereto is a Declaration in compliance with 37 CFR §1.63 and a credit card payment form in the amount of \$170.00 for the surcharge set forth in 37 CFR §1.16(e) as required in the Notice, and also for the submission of the Recordation Cover Sheet for submission of the Assignment document.

Please charge any shortage in fees due in connection with the filing of this paper to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Referencing case number 219.40442X00), and please credit any excess fees thereto.

Respectfully submitted,

Paul W. Bobowiec
Paul W. Bobowiec
Registration No. 47,431
ANTONELLI, TERRY, STOUT & KRAUS, LLP

PWB:srm
(703) 312-6600



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i> | | Complete if Known | | |
|--|-----------------|--|---------------------------|---|
| | | Application Number | 09/964,586 | |
| | | Filing Date | September 28, 2001 | |
| | | First Named Inventor | FRUTSCHY, KRISTOPHER | |
| | | Examiner Name | Not yet assigned | |
| | | Group Art Unit | Not yet assigned | |
| TOTAL AMOUNT OF PAYMENT (\$) | | Attorney Docket No. | 219.40442X00 | |
| (\$ 170.00) | | | | |
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27 | | 3. ADDITIONAL FEES | | |
| 2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | | | |
| FEE CALCULATION | | | | |
| 2. BASIC FILING FEE | | | | |
| Large Fee Code | Entity Fee Code | Small Fee Code | Fee Description | Fee Paid |
| 101 | 740 | 201 | 370 Utility filing fee | |
| 106 | 330 | 206 | 165 Design filing fee | |
| 107 | 510 | 207 | 255 Plant filing fee | |
| 108 | 740 | 208 | 370 Reissue filing fee | |
| 114 | 160 | 214 | 80 Provisional filing fee | |
| SUBTOTAL (1) | | | | |
| 1. EXTRA CLAIM FEES | | | | |
| Total Claims -20** | | Extra Claims = | Fee from below x | Fee Paid = |
| Indep. Claims -3** | | = | x | = |
| Multiple Dependent | | = | | = |
| Large Fee Code | Entity Fee Code | Small Fee Code | Entity Fee Code | Fee Description |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) \$ | | | | |
| **or number previously paid, if greater; For Reissues, see above. | | | | |
| | | Fee Code | Fee (\$) | Fee Description |
| | | 105 | 130 | 205 65 Surcharge - late filing fee or oath |
| | | 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet |
| | | 139 | 130 | 139 130 Non-English specification |
| | | 147 | 2,520 | 147 2,520 For filing a request for ex parte reexamination |
| | | 112 | 920* | 112 920* Requesting publication of SIR prior to Examination action |
| | | 113 | 1,840* | 113 1,840* Requesting publication of SIR after Examiner action |
| | | 115 | 110 | 215 55 Extension for reply within first month |
| | | 116 | 400 | 216 200 Extension for reply within second month |
| | | 117 | 920 | 217 460 Extension for reply within third month |
| | | 118 | 1,440 | 218 720 Extension for reply within fourth month |
| | | 128 | 1,960 | 228 980 Extension for reply within fifth month |
| | | 119 | 320 | 219 160 Notice of Appeal |
| | | 120 | 320 | 220 160 Filing a brief in support of an appeal |
| | | 121 | 280 | 221 140 Request for oral hearing |
| | | 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding |
| | | 140 | 110 | 240 55 Petition to revive - unavoidable |
| | | 141 | 1,280 | 241 640 Petition to revive - unintentional |
| | | 142 | 1,280 | 242 640 Utility issue fee (or reissue) |
| | | 143 | 460 | 243 230 Design issue fee |
| | | 144 | 620 | 244 310 Plant issue fee |
| | | 122 | 130 | 122 130 Petitions to the Commissioner |
| | | 123 | 50 | 123 50 Processing fee under 37 CFR 1.17(q) |
| | | 126 | 180 | 126 180 Submission of Information Disclosure Stmt |
| | | 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) |
| | | 146 | 740 | 246 370 For each additional invention to be examined (37 CFR § 1.129(a)) |
| | | 149 | 740 | 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) |
| | | 179 | 740 | 279 370 Request for Continued Examination (RCE) |
| | | 169 | 900 | 169 900 Request for expedited examination of a design application |
| | | Other fee (specify) NOTICE TO FILE MISSING PARTS 130 | | |
| | | SUBTOTAL (3) (\$ 170 | | |
| | | *Reduced by Basic Filing Fee Paid | | |

| SUBMITTED BY | | Complete (if applicable) | | | |
|-------------------|------------------|-----------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Paul W. Bobowlec | Registration No. (Attorney/Agent) | 47,431 | Telephone | 703-312-6600 |
| Signature | Paul W. Bobowlec | Date | November 16, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.